

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
14 February 2002 (14.02.2002)

PCT

(10) International Publication Number  
**WO 02/11807 A2**

(51) International Patent Classification<sup>7</sup>: **A61M 25/00**

(21) International Application Number: **PCT/US01/24029**

(22) International Filing Date: 1 August 2001 (01.08.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
09/633,924 8 August 2000 (08.08.2000) US

(71) Applicant: **SCIMED LIFE SYSTEMS, INC. [US/US]**  
One SciMed Place, Maple Grove, MN 55331 (US).

(72) Inventor: **MICKLEY, Timothy, J.**; 21870 Xenon Street,  
Elk River, MN 55330 (US).

(74) Agents: **BRAINARD, Charles, R. et al.**; Kenyon & Kenyon, Suite 700, 1500 K. Street, N.W., Washington, DC 20005-1257 (US).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

**Published:**

— without international search report and to be republished upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.



**WO 02/11807 A2**

(54) Title: CONTROLLED DEPTH INJECTION DEVICE AND METHOD

(57) Abstract: A catheter adapted to deliver therapeutic or diagnostic agents to a target tissue of a human body is disclosed. A catheter in accordance with the present invention comprises a first elongate shaft having a proximal end, a distal end, and a lumen extending therethrough, a second elongate shaft disposed within the lumen of the first elongate shaft, the first elongate shaft including a first helical member comprising a plurality of turns, the second elongate shaft including a second helical member comprising a plurality of turns. Wherein, at least one turn of the second helical member is disposed proximate at least one turn of the first helical member.

## CONTROLLED DEPTH INJECTION DEVICE AND METHOD

### Related Applications

5       The present application is related to U.S. Patent Application Serial No. \_\_\_\_\_, filed by the same assignee on even date herewith and entitled "Tortuous Path Injection Device and Method." The present application is also related to U.S. Patent Application Serial No. \_\_\_\_\_, filed by the same assignee on even date herewith and entitled "Catheter Shaft Assembly."

10

### Field of the Invention

15       The present invention relates generally to devices and methods for delivering therapeutic or diagnostic agents to a portion of the human body. More particularly, the present invention relates generally to devices and methods for delivering and injecting fluid into heart tissue.

### Background of the Invention

20       Intravascular catheters are currently utilized in a wide variety of minimally-invasive or percutaneous medical procedures. Generally, an intravascular catheter enables a physician to remotely perform a medical procedure by inserting the catheter into the vascular system of the patient at an easily accessible location and navigating the tip of the catheter to a desirable target site. By this method, virtually any target site in the patient's vascular system may be remotely accessed.

25       Typically, a percutaneous procedure begins with the step of inserting a distal portion of the catheter into the patient's vasculature at a convenient location. Once the distal portion of the catheter has entered the patient's vascular system the physician may urge the distal tip forward by applying longitudinal forces to the proximal portion of the catheter. Frequently the path taken by a catheter through the vascular system is tortuous, requiring the catheter to change direction frequently. While advancing the catheter through the tortuous path of the patient's vasculature, the physician must steer the distal

end of the catheter. During a percutaneous procedure, the physician typically is not able to manipulate the distal portion of the catheter directly. For this reason, physicians typically must steer the distal end of the catheter by applying torsional forces to the proximal portion of the catheter.

5        Injection catheters are a type of catheter which may be used to inject therapeutic or diagnostic agents into various target tissues within the human body. An advantage of injection catheters is that the target tissue may be accessed utilizing minimally invasive surgical techniques. As with other types of catheters, the physician typically is not able to manipulate the distal portion of an injection catheter directly.

10      In many applications the target tissue is within a wall of an organ such as the stomach or the heart. When the target tissue is within the wall of an organ it is often desirable to inject the therapeutic or diagnostic agent into the tissue proximate the center of the organ wall. If the needle of the injection catheter inadvertently passes through the wall, the therapeutic or diagnostic agents dispensed from the distal end of the needle will not be effectively delivered to the target tissue. Wall thickness may vary from organ to 15     organ. Additionally, wall thickness may vary within one organ.

20      One example of a medical procedure involving the delivery of a therapeutic and/or diagnostic agent to a targeted portion of a patient's body is the treatment of esophageal varices. This is a condition in which blood vessels of the esophagus are enlarged and may potentially burst. For such a procedure, a therapeutic agent is injected into the varix. When treating an esophageal varix, the agent may be a coagulant such as sodium morrhuate. When a coagulant is injected into a varix, it causes it to occlude. An injection catheter may be used to deliver the therapeutic agent in order to minimize the invasive nature of the procedure.

25      In a similar procedure, an injection catheter may be utilized in the treatment of ulcers in the stomach lining. With such treatment, an injection catheter may be used to deliver drugs such as sclerosing or vasoconstrictive agents. These drugs typically clot or occlude the bleeding tissue to stop bleeding or to reduce the possibility of a blood vessel bursting.

30      Injection catheters may also be used to inject therapeutic or diagnostic agents into

the heart. Examples of agents delivered to the heart include genes, proteins, or drugs. In the case of injecting a therapeutic agent into the heart, 27 or 28 gauge needles are generally used to inject solutions carrying genes, proteins, or drugs directly into the myocardium. A typical volume of an agent delivered to an injection site is about 100  
5 microliters.

Therapeutic and diagnostic agents may be delivered to a portion of the heart as part of a percutaneous myocardial revascularization (PMR) procedure. PMR is a procedure which is aimed at assuring that the heart is properly oxygenated. Assuring that the heart muscle is adequately supplied with oxygen is critical to sustaining the life of a  
10 patient. To receive an adequate supply of oxygen, the heart muscle must be well perfused with blood. In a healthy heart, blood perfusion is accomplished with a system of blood vessels and capillaries. However, it is common for the blood vessels to become occluded (blocked) or stenotic (narrowed). A stenosis may be formed by an atheroma which is typically a harder, calcified substance which forms on the walls of a blood vessel.  
15

Historically, individual stenotic lesions have been treated with a number of medical procedures including coronary bypass surgery, angioplasty, and atherectomy. Coronary bypass surgery typically involves utilizing vascular tissue from another part of the patient's body to construct a shunt around the obstructed vessel. Angioplasty techniques such as percutaneous transluminal angioplasty (PTA) and percutaneous  
20 transluminal coronary angioplasty (PTCA) are relatively non-invasive methods of treating a stenotic lesion. These angioplasty techniques typically involve the use of a guidewire and a balloon catheter. In these procedures, a balloon catheter is advanced over a guidewire such that the balloon is positioned proximate a restriction in a diseased vessel. The balloon is then inflated and the restriction in the vessel is opened. A third technique  
25 which may be used to treat a stenotic lesion is atherectomy. During an atherectomy procedure, the stenotic lesion is mechanically cut or abraded away from the blood vessel wall.

Coronary by-pass, angioplasty, and atherectomy procedures have all been found effective in treating individual stenotic lesions in relatively large blood vessels. However,  
30 the heart muscle is perfused with blood through a network of small vessels and capillaries.

In some cases, a large number of stenotic lesions may occur in a large number of locations throughout this network of small blood vessels and capillaries. The torturous path and small diameter of these blood vessels limit access to the stenotic lesions. The sheer number and small size of these stenotic lesions make techniques such as cardiovascular by-pass surgery, angioplasty, and atherectomy impractical.

When techniques which treat individual lesion are not practical percutaneous myocardial revascularization (PMR) may be used to improve the oxygenation of the myocardial tissue. A PMR procedure generally involves the creation of holes, craters or channels directly into the myocardium of the heart. In a typical PMR procedure, these holes are created using radio frequency energy delivered by a catheter having one or more electrodes near its distal end. After the wound has been created, therapeutic agents are sometimes ejected into the heart chamber from the distal end of a catheter.

Positive clinical results have been demonstrated in human patients receiving PMR treatments. These results are believed to be caused in part by blood flowing within a heart chamber through channels in myocardial tissue formed by PMR. Increased blood flow to the myocardium is also believed to be caused in part by the healing response to wound formation. Specifically, the formation of new blood vessels is believed to occur in response to the newly created wound. This response is sometimes referred to as angiogenesis. After the wound has been created, therapeutic agents which are intended to promote angiogenesis are sometimes ejected into the heart chamber. A limitation of this procedure is that the therapeutic agent may be quickly carried away by the flow of blood through the heart.

In addition to promoting increased blood flow, it is also believed that PMR improves a patient's condition through denervation. Denervation is the elimination of nerves. The creation of wounds during a PMR procedure results in the elimination of nerve endings which were previously sending pain signals to the brain as a result of hibernating tissue.

#### Summary of the Invention

The present invention relates generally to devices and methods for delivering

therapeutic or diagnostic agents to a portion of the human body. More particularly, the present invention relates generally to devices and methods for delivering and injecting fluid into heart tissue.

An injection catheter in accordance an exemplary embodiment of the present invention includes a first elongate shaft having a lumen and a second elongate shaft disposed within the lumen of the first elongate shaft. In this exemplary embodiment, the second elongate shaft includes a point and an injection orifice proximate it's distal end. In many applications it is desirable to advance the distal end of the second elongate shaft by a known distance relative to the distal end of the first elongate shaft. For example, this know displacement may be desirable when a physician wishes to inject a fluid into the wall of an organ.

In one embodiment, a knob is fixed to the second elongate shaft of the exemplary injection catheter proximate a proximal end thereof. Also in this embodiment, a housing is disposed about the first elongate shaft of the exemplary injection catheter proximate the proximal end thereof. A physician utilizing the catheter in a surgical procedure may advance the distal end of the second elongate shaft by rotating the second elongate shaft relative to the first elongate shaft. To facilitate this relative rotation, the physician may grasp the housing and apply a torque to the knob.

In a particularly preferred embodiment, there is a known relationship between the rotary motion of the second elongate shaft relative to the first elongate shaft and the linear motion of the second elongate shaft relative to the first elongate shaft. For example, the physician may advance the second elongate shaft by a desired distance by rotating the second elongate shaft by a corresponding number of turns.

25

#### Brief Description of the Drawings

Figure 1 is a plan view of a catheter in accordance with an exemplary embodiment of the present invention;

Figure 2 is a diagrammatic view including the catheter of figure 1 and a patient having a heart and a vascular system including a blood vessel defining a blood vessel lumen;

Figure 3 is a cross sectional view of a distal portion of the catheter of figure 1 and figure 2;

Figure 4 is a partial cross sectional view of a distal portion of an additional exemplary embodiment of a catheter in accordance with the present invention;

5       Figure 5 is a partial cross sectional view of a distal portion of an additional exemplary embodiment of a catheter in accordance with the present invention;

Figure 6 is a partial cross sectional view of a distal portion of an additional exemplary embodiment of a catheter in accordance with the present invention;

10      Figure 7 is a partial cross sectional view of a catheter having an inner assembly in accordance with an exemplary embodiment of the present invention; and

Figure 8 is a partial cross sectional view of the inner assembly of figure 7.

#### Detailed Description of the Invention

The following detailed description should be read with reference to the drawings, 15 in which like elements in different drawings are numbered in like fashion. The drawings which are not necessarily to scale, depict selected embodiments and are not intended to limit the scope of the invention. In some cases, the drawings may be highly diagrammatic in nature. Examples of constructions, materials, dimensions, and manufacturing processes are provided for various elements. Those skilled in the art will recognize that many of the 20 examples provided have suitable alternatives which may be utilized.

Figure 1 is a plan view of a catheter 100 in accordance with the present invention. Catheter 100 has a distal end 102, a proximal end 104, and a shaft assembly 106. Shaft assembly 106 comprises a first elongate shaft 120 having a distal end 122, a proximal end 124, and an inside surface 126 defining a lumen 128. Shaft assembly 106 also includes a 25 second elongate shaft 130 disposed within lumen 128 of first elongate shaft 120.

Second elongate shaft 130 has a distal end 132 and a proximal end 134. In many applications it is desirable to advance distal end 132 of second elongate shaft 130 by a known distance relative to distal end 122 of first elongate shaft 120. In the embodiment of figure 1, a knob 138 is fixed to second elongate shaft 130. Also in the embodiment of 30 figure 1, a housing 140 is disposed about first elongate shaft 120 proximate proximal end

124 thereof. In a preferred embodiment, a physician utilizing catheter 100 in a surgical procedure may advance distal end 132 of second elongate shaft 130 by rotating second elongate shaft 130 relative to first elongate shaft 120. To facilitate this relative rotation, the physician may grasp housing 140 and apply a torque to knob 138.

5       In a particularly preferred embodiment, there is a known relationship between the rotary motion of second elongate shaft 130 relative to first elongate shaft 120 and the linear motion of second elongate shaft 130 relative to first elongate shaft 120. For example, the physician may advance second elongate shaft 130 by a desired distance by rotating second elongate shaft 130 by a corresponding number of turns.

10      In the embodiment of figure 1, second elongate shaft 130 forms a point 142 proximate distal end 132 thereof. Second elongate shaft 130 also defines an injection port 144 proximate point 142. A hub 146 is disposed about second elongate shaft 130. Hub 146 defines a proximal port 148. In a preferred embodiment, proximal port 148 is in fluid communication with injection port 144 via an injection lumen 150 defined by second elongate shaft 130.

15      Catheter 100 of figure 1 may be generally referred to as an injection catheter. It is to be appreciated that a catheter in accordance with the present invention may comprise various types of catheters without deviating from the spirit and scope of the present invention.

20      In a preferred embodiment, second elongate shaft 130 of catheter 100 comprises hypodermic tubing. Second elongate shaft 130 may comprise various metallic and non-metallic materials without deviating from the spirit and scope of the present invention. Examples of metallic materials which may be suitable in some applications include stainless steel, and nickel-titanium alloy. Examples of non-metallic materials which may 25 be suitable in some applications are included in the list below which is not exhaustive: polycarbonate, poly(L-lactide) (PLLA), poly(D,L-lactide) (PLA), polyglycolide (PGA), poly(L-lactide-co-D,L-lactide) (PLLA/PLA), poly(L-lactide-co-glycolide) (PLLA/PGA), poly(D, L-lactide-co-glycolide) (PLA/PGA), poly(glycolide-co-trimethylene carbonate) (PGA/PTMC), polyethylene oxide (PEO), polydioxanone (PDS), polycaprolactone (PCL), 30 polyhydroxylbutyrate (PHBT), poly(phosphazene), polyD,L-lactide-co-caprolactone)

(PLA/PCL), poly(glycolide-co-caprolactone) (PGA/PCL), polyanhydrides (PAN), poly(ortho esters), poly(phosphate ester), poly(amino acid), poly(hydroxy butyrate), polyacrylate, polyacrylamid, poly(hydroxyethyl methacrylate), polyurethane, polysiloxane and their copolymers.

5 In a preferred embodiment, first elongate shaft 120 of catheter 100 comprises an elongate tubular member including a reinforcement member (e.g., braided or coiled wire). Second elongate shaft 130 may comprise various metallic and non-metallic materials without deviating from the spirit and scope of the present invention. Examples of metallic materials which may be suitable in some applications include stainless steel, and nickel-titanium alloy. Examples of non-metallic materials which may be suitable in some 10 applications include: polyethylene (PE), polypropylene (PP), polyvinylchloride (PVC), polyurethane, polytetrafluoroethylene (PTFE), polyether block amide (PEBA), polyamide, and polyimide.

Figure 2 is a diagrammatic view including catheter 100 of figure 1 and a patient 15 110. Patient 110 has a heart 111 and a vascular system 112 including a blood vessel 113 defining a blood vessel lumen 114. An access sheath 115 is partially disposed within a leg of patient 110. A distal end of access sheath 115 is disposed within blood vessel lumen 114 of blood vessel 113. Access sheath 115 may aid in the introduction of catheter 100 into blood vessel lumen 114.

20 As shown in figure 2, a portion of catheter 100 is disposed within blood vessel lumen 114 of blood vessel 113. Distal-end-102 (not visible in figure 2) of catheter 100 is disposed within heart 111 of patient 110. In a preferred embodiment, distal end 102 of catheter 100 is disposed proximate a wall of heart 111.

In the embodiment of figure 2, a fluid source 116 is coupled to hub 146 disposed 25 about second elongate shaft 130 of catheter 100. In the embodiment of figure 2, fluid source 116 includes a variable volume chamber 117 defined by a body 118. In a preferred embodiment, variable volume chamber 117 is in fluid communication with injection lumen 150 of second elongate shaft 130. A plunger 119 is slidingly disposed within 30 variable volume chamber 117. Urging the plunger distally has the effect of urging fluid into injection lumen 150 of second elongate shaft 130. A number of energy sources may

be utilized to urge plunger 119 distally. Energy sources which may be suitable in some applications include springs, compressed gas, a human being, and electricity. Various additional embodiments of fluid source 116 are possible without deviating from the spirit and scope of the present invention. Examples of fluid sources which may be suitable in some applications include syringes, peristaltic pumps, and an I.V. bag with pressure applied to its outer surface.

A method of injecting a fluid into heart 111 of patient 110 may be described with reference to figure 2. The distal end of access sheath 115 may be inserted into blood vessel lumen 114 of blood vessel 113. Distal end 102 of catheter 100 may be inserted into the lumen of access sheath 115. Distal end 102 of catheter 100 may be advanced through access sheath 115 and into blood vessel lumen 114 of blood vessel 113. Catheter 100 may be urged forward through vascular system 112 of patient 110 until distal end 102 is proximate the target tissue (e.g., a wall of heart 111). In figure 2 it may be appreciated that shaft assembly 106 of catheter 100 is bent in a plurality of locations to conform with a tortuous path defined by vascular system 112.

In a preferred method, distal end 132 of second elongate shaft 130 is disposed within lumen 128 of first elongate shaft 120 during the above steps. Once distal end 102 of catheter 100 is positioned proximate the target tissue, second elongate shaft 130 may be advanced so that point 142 penetrates the bodily tissue at the target site. With injection port 144 of second elongate shaft 130 disposed within the target tissue, fluid may be urged into the target tissue. For example, force may be applied to plunger 119 urging fluid out of fluid source 116 and into injection lumen 150 of second elongate shaft 130. The addition of fluid from fluid source 116 results in the injection of fluid into the target tissue.

In many applications it is desirable to advance point 142 and injection port 144 into the target tissue by a known distance. A physician may advance point 142 and injection port 144 into the target tissue by rotating knob 138. The physician may determine the depth of penetration, for example, by observing the angle of rotation of knob 138 relative to housing 140 disposed about second elongate shaft 130.

Embodiments have been envisioned in which knob 138 and/or housing 140 include indicia

to aid the physician.

The fluid injected into the target area may include various therapeutic or diagnostic agents adapted to treat the medical condition which the physician is treating. It is to be appreciated that methods in accordance with the present invention may be used in the treatment of a number of medical conditions. For example, methods and devices of performing percutaneous myocardial revascularization (PMR) in accordance with the present invention have been envisioned. For example, a plurality of wounds may be created in hibernating tissue of the heart. These wounds may be created by injecting a fluid into the tissue of the heart. As a result of these wounds, there will be increased blood flow to the myocardium caused in part by the body's healing response to the wound. One healing response of the body is sometimes referred to as angiogenesis. In addition to promoting increased blood flow, it is also believed that PMR improves a patient's condition through denervation. Denervation is the elimination of nerves. The creation of wounds during this procedure results in the elimination of nerve endings which were previously sending pain signals to the brain as a result of hibernating tissue.

Suitable wounds may be created by injecting a fluid such as water, saline, or ringers solution into the heart tissue. Wound formation and revascularization of myocardial tissue may enhanced by injecting a fluid including a therapeutic agent into the tissue of the heart. Examples, of therapeutic agents which may be suitable include growth factors, drugs and caustic agents. The fluid injected into the heart tissue may also include a radiopaque material. Injecting a radiopaque material into the wound effectively marks the locations which have been treated. This will aid the physician in procedures which are being performed percutaneously using fluoroscopic equipment.

In the exemplary embodiment of figure 2, catheter 100 may be utilized to inject fluid into heart 111 of patient 110. It is to be appreciated that catheter 100 may be utilized in the treatment various medical conditions occurring in various locations in the body. For example, catheter 100 may be used in the treatment of esophageal varices, a condition in which blood vessels of the esophagus are enlarged and may potentially burst. For such a procedure, injection port 144 would be disposed proximate the enlarged varix and an appropriate agent would be injected into the varix. When treating an esophageal varice,

the agent may be a coagulant such as sodium morrhuate. When a coagulant is injected into a varix, it causes the occlusion thereof.

Figure 3 is a cross sectional view of a distal portion 152 of catheter 100 of figure 1 and figure 2. In figure 3 it may be appreciated that catheter 100 includes a first helical member 154 comprising a plurality of turns 156 disposed within lumen 128 of first elongate shaft 120. In a preferred embodiment, first helical member 154 is fixed to inside surface 126 of first elongate shaft 120. In the embodiment of figure 3, first helical member 154 comprises a first screw thread 158.

Also in the embodiment of figure 3, a second helical member 160 comprising a plurality of Turns 162 is disposed about second elongate shaft 130. In the embodiment of figure 3, second helical member 160 is preferably fixed to second elongate shaft 130. In the embodiment of figure 3, second helical member 160 comprises a second screw thread 164. In figure 3, it may be appreciated that a plurality of Turns 162 of second helical member 160 are disposed between a plurality of turns 156 of first helical member 154.

In the embodiment of figure 3, a header 166 is partially disposed within lumen 128 of first elongate shaft 120. In a preferred embodiment, header 166 includes a radial enlargement 161. In this preferred embodiment, radial enlargement 161 provides a generally enlarged distal contact surface 170. Generally enlarged distal contact surface 170 reduces the likelihood that undesired tissue damage will occur when distal end 102 of catheter 100 is urged against bodily tissue. Header 166 also defines a header lumen 168. As shown in figure 3, second elongate shaft 130 is slidingly disposed within header lumen 168.

Figure 4 is a partial cross sectional view of a distal portion 252 of an additional embodiment of a catheter 200 in accordance with the present invention. Catheter 200 includes a shaft assembly 206 comprising a first elongate shaft 220 having a distal end 222 and an inside surface 226 defining a lumen 228. Shaft assembly 206 also includes a second elongate shaft 230 having a distal end 232 slidingly disposed within lumen 228 of first elongate shaft 220.

In many applications it is desirable to advance distal end 232 of second elongate shaft 230 by a known distance relative to distal end 222 of first elongate shaft 220. In the

embodiment of figure 4, second elongate shaft 230 may be selectively advanced and retracted.

In figure 4 it may be appreciated that catheter 200 includes a first helical member 254 comprising a plurality of turns 256 disposed within lumen 228 of first elongate shaft 220. In the embodiment of figure 4, first helical member 254 is preferably fixed to inside surface 226 of first elongate shaft 220. In the embodiment of figure 4, first helical member 254 comprises a first screw thread 258. Embodiments of the present invention have been envisioned in which first helical member 254 comprises a rib formed by first elongate shaft. Embodiments of the present invention have also been envisioned in which first helical member 254 comprises a coil.

A second helical member 260 is formed by second elongate shaft 230. In the embodiment of figure 4, second helical member 260 comprises a coil 272 having a plurality of Turns 262. In figure 4, it may be appreciated that a plurality of Turns 262 of second helical member 260 are disposed between a plurality of turns 256 of first helical member 254.

In the embodiment of figure 4, a header 266 is partially disposed within lumen 228 of first elongate shaft 220. In a preferred embodiment, header 266 includes a radial enlargement 261. In this preferred embodiment, radial enlargement 261 provides a generally enlarged distal contact surface 270. Generally enlarged distal contact surface 270 reduces the likelihood that undesired tissue damage will occur when distal end 202 of catheter 200 is urged against bodily tissue. Header 266 also defines a header lumen 268. As shown in figure 4, second elongate shaft 230 is slidably disposed within header lumen 268.

In the embodiment of figure 4, second elongate shaft 230 forms a point 242 proximate distal end 232 thereof. Second elongate shaft 230 also defines an injection port 244 proximate point 242. A physician may advance point 242 and injection port 244 of second elongate shaft 230 into a target tissue by rotating second elongate shaft 230. In a particularly preferred embodiment, there is a known relationship between the rotary motion of second elongate shaft 230 relative to first elongate shaft 220 and the linear motion of second elongate shaft 230 relative to first elongate shaft 220. For example, the

physician may advance point 242 and injection port 244 of second elongate shaft 230 by a desired distance by rotating second elongate shaft 230 by a corresponding number of turns.

Figure 5 is a partial cross sectional view of a distal portion 352 of an additional embodiment of a catheter 300 in accordance with the present invention. Catheter 300 includes a shaft assembly 306 comprising a first elongate shaft 320 having a distal end 322 and an inside surface 326 defining a lumen 328. A header 366 is partially disposed within lumen 328 of first elongate shaft 320 proximate distal end 322. Header 366 includes a first helical member 354 comprising a plurality of turns 356. In the embodiment of figure 5, first helical member 354 comprises a first screw thread 358.

A second elongate shaft 330 is partially disposed within lumen 328 of first elongate shaft 320. Second elongate shaft 330 forms a second helical member 360. In the embodiment of figure 5, second helical member 360 comprises a coil 372 having a plurality of Turns 362. In figure 5, it may be appreciated that a plurality of Turns 362 of second helical member 360 are disposed between a plurality of turns 356 of first helical member 354. A distal end 332 of second elongate shaft 330 may be advanced into a target tissue by rotating second elongate shaft 330. In a particularly preferred embodiment, there is a known relationship between the rotary motion of second elongate shaft 330 relative to first elongate shaft 320 and the linear motion of second elongate shaft 330 relative to first elongate shaft 320. For example, the physician may advance point 342 and injection port 344 of second elongate shaft 330 by a desired distance by rotating second elongate shaft 330 by a corresponding angle.

Figure 6 is a partial cross sectional view of a distal portion 452 of an additional embodiment of a catheter 400 in accordance with the present invention. Catheter 400 includes a shaft assembly 406 comprising a first elongate shaft 420, a second elongate shaft 430, and a third elongate shaft 474. First elongate shaft 420 has a distal end 422 and an inside surface 426 defining a lumen 428. Third elongate shaft 474 is disposed within lumen 428 of first elongate shaft 420. Second elongate shaft 430 is disposed within a third lumen 429 defined by third elongate shaft 474.

In the embodiment of figure 6, a header 466 is partially disposed within lumen 428

of first elongate shaft 420. In a preferred embodiment, header 466 includes a radial enlargement 461. In this preferred embodiment, radial enlargement 461 provides a generally enlarged distal contact surface 470. Generally enlarged distal contact surface 470 reduces the likelihood that undesired tissue damage will occur when distal end 402 of catheter 400 is urged against bodily tissue. Header 466 also defines a header lumen 427. As shown in figure 6, second elongate shaft 430 is slidably disposed within header lumen 427.

A first helical member 454 comprising a plurality of turns 456 is disposed within third lumen 429 of third elongate shaft 474. In the embodiment of figure 6, first helical member 454 comprises a first screw thread 458. First helical member 454 is adapted to threadingly engage a second helical member 460 having a plurality of turns 462. As shown in figure 6, second helical member 460 is formed by a portion of header 466. Header 466 is partially disposed within lumen 428 of first elongate shaft 420. In the embodiment of figure 6, second helical member 460 comprises a second screw thread 464. Header 466 also defines a header lumen 427. As shown in figure 6, second elongate shaft 430 is disposed within header lumen 427.

In the embodiment of figure 6, a flange 476 is disposed about second elongate shaft 430. Third elongate shaft 474 includes a stop 478. In a presently preferred embodiment, stop 478 and flange 476 are adapted to limit the longitudinal travel of second elongate shaft 430 relative to first elongate shaft 420.

Third elongate shaft 474 may be utilized to adjust the depth of injection during a surgical procedure. A physician may apply a rotational force to a proximal end of third elongate shaft 474 causing it to rotate relative to header 466. In a preferred embodiment, rotation of third elongate shaft 474 will alter the distance between a proximal surface 480 of stop 478 and distal contact surface 470 of header 466. It may be appreciated that a change in the distance between a proximal surface 480 of stop 478 and distal contact surface 470 of header 466 will result in a change in the depth of injections made with catheter 400. In the embodiment of figure 6, the travel of second elongate shaft 430 preferably stops when flange 476 contacts stop 478.

Figure 7 is a partial cross sectional view of a distal portion 552 of an additional

embodiment of a catheter 500 in accordance with the present invention. Catheter 500 includes a first elongate shaft 520 having a distal end 522 and an inside surface 526 defining a lumen 528. A header 566 is partially disposed within lumen 528 of first elongate shaft 520 proximate the distal end thereof. An inner assembly 582 is slidably disposed within lumen 528 of first elongate shaft 520.

Figure 8 is a partial cross sectional view of inner assembly 582 of figure 7. In the embodiment of figure 8, inner assembly 582 has been withdrawn from lumen 528 of first elongate shaft 520. Inner assembly 582 includes a third elongate shaft 574, a second elongate shaft 530, and a ferrule 584. Second elongate shaft 530 is partially disposed within a third lumen 529 defined by third elongate shaft 574.

Third elongate shaft 574 of inner assembly 582 includes a first helical member 554. Ferrule 584 of inner assembly 582 includes a second helical member 560. In the embodiment of figure 8, first helical member 554 and second helical member 560 comprise a first screw thread 558 and a second screw thread 564 respectively. A plurality of turns 556 of first helical member 554 are disposed in threaded engagement with a plurality of turns 562 of second helical member 560.

Ferrule 584 includes a distal end 586 and a ferrule lumen 588. Ferrule lumen 588 allows second elongate shaft 530 to extend through ferrule 584. In the embodiment of figure 8, a flange 576 is disposed about second elongate shaft 530. Third elongate shaft 574 includes a stop 578. In a preferred embodiment, stop 578 and flange 576 are adapted to limit the longitudinal travel of distal end 532 of second elongate shaft 530 relative to distal end 586 of ferrule 584.

Inner assembly 582 may be utilized to adjust the depth of injection during a surgical procedure. For example, a physician may withdraw inner assembly 582 from catheter 500 and rotate ferrule 584 relative to third elongate shaft 574. In a preferred embodiment, relative rotation between third elongate shaft 574 and ferrule 584 will alter the distance between a proximal surface 580 of stop 578 and distal end 586 of ferrule 584. It may be appreciated that a change in the distance between proximal surface 580 of stop 578 and distal end 586 of ferrule 584 will result in a change to the depth of injections made with catheter 500. In the embodiment of figure 7 and figure 8, the travel of second

elongate shaft 530 preferably stops when flange 576 contacts stop 578. Changes may be made in details, particularly in matters of shape, size, and arrangement of parts without exceeding the scope of the invention. The invention's scope is, of course, defined in the language in which the appended claims are expressed.

5

What is claimed is:

1. A catheter, comprising: a first elongate shaft having a proximal end, a distal end, and a lumen extending therethrough;  
5 a second elongate shaft disposed within the lumen of the first elongate shaft; the first elongate shaft including a first helical member comprising a plurality of turns; the second elongate shaft including a second helical member comprising a plurality of turns; and  
10 wherein at least one turn of the second helical member is disposed proximate at least one turn of the first helical member.
2. The catheter of claim 1, wherein at least one turn of the second helical member is at least partially disposed between a plurality of turns of the first helical member.  
15
3. The catheter of claim 1, wherein the second helical member is disposed in threading engagement with the first helical member.  
20
4. The catheter of claim 1, wherein the first helical member comprises a thread.  
25
5. The catheter of claim 1, wherein the first helical member comprises a coil.  
30
6. The catheter of claim 1, wherein the second helical member comprises a thread.  
7. The catheter of claim 1, wherein the second helical member comprises a coil.

8. A catheter, comprising:

a first elongate shaft having a proximal end, a distal end, and a lumen extending therethrough;

5 a second elongate shaft disposed within the lumen of the first elongate shaft;

a first helical member comprising a plurality of turns fixed to the first elongate shaft;

a second helical member comprising a plurality of turns defined by the second elongate shaft; and

wherein at least one turn of the second helical member is disposed proximate at

10 least one turn of the first helical member.

9. The catheter of claim 8, wherein at least one turn of the second helical member is at least partially disposed between a plurality of turns of the first helical member.

15

10. The catheter of claim 8, wherein the second helical member is disposed in threading engagement with the first helical member.

20

11. The catheter of claim 8, wherein the first helical member comprises a thread.

12. The catheter of claim 8, wherein the first helical member comprises a coil.

25

13. The catheter of claim 8, wherein the second helical member comprises a coil.

14. A catheter, comprising:

a first elongate shaft having a proximal end, a distal end, and a lumen extending therethrough;

30

a second elongate shaft disposed within the lumen of the first elongate shaft;

- a first helical member comprising a plurality of turns fixed to the first elongate shaft;
- a second helical member comprising a plurality of turns fixed to the second elongate shaft; and
- 5 wherein at least one turn of the second helical member is disposed proximate at least one turn of the first helical member.
15. The catheter of claim 14, wherein at least one turn of the second helical member is at least partially disposed between a plurality of turns of the first helical member.
- 10
16. The catheter of claim 14, wherein the second helical member is disposed in threading engagement with the first helical member.
- 15
17. The catheter of claim 14, wherein the first helical member comprises a coil.
18. The catheter of claim 14, wherein the second helical member comprises a thread.
- 20
19. The catheter of claim 14, wherein the second helical member comprises a thread.
20. The catheter of claim 14, wherein the second helical member comprises a coil.

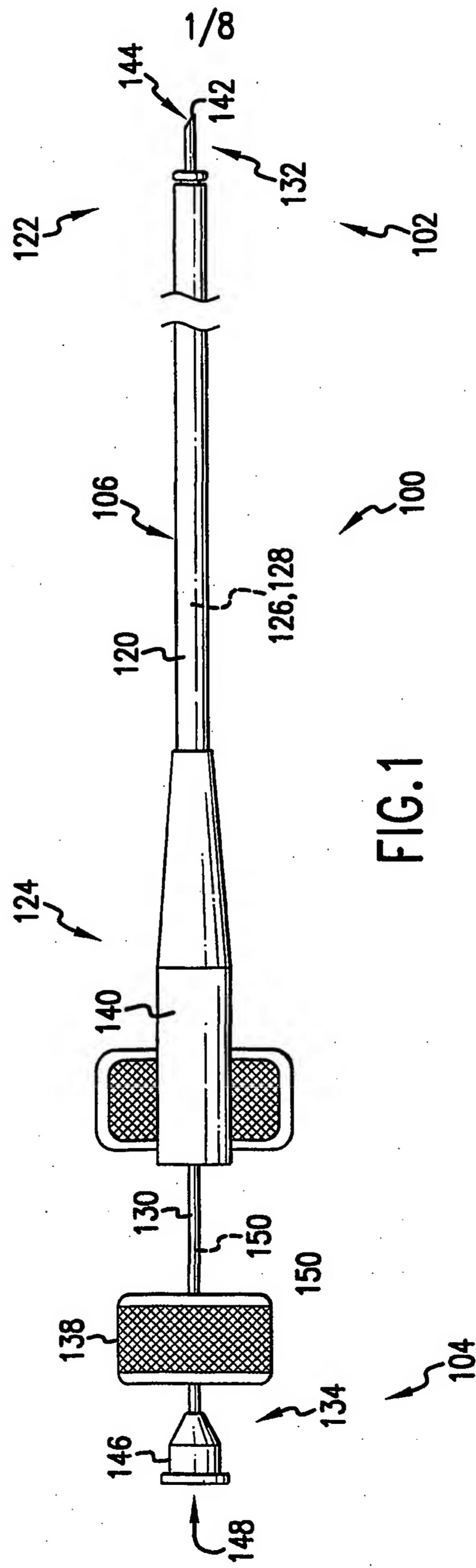
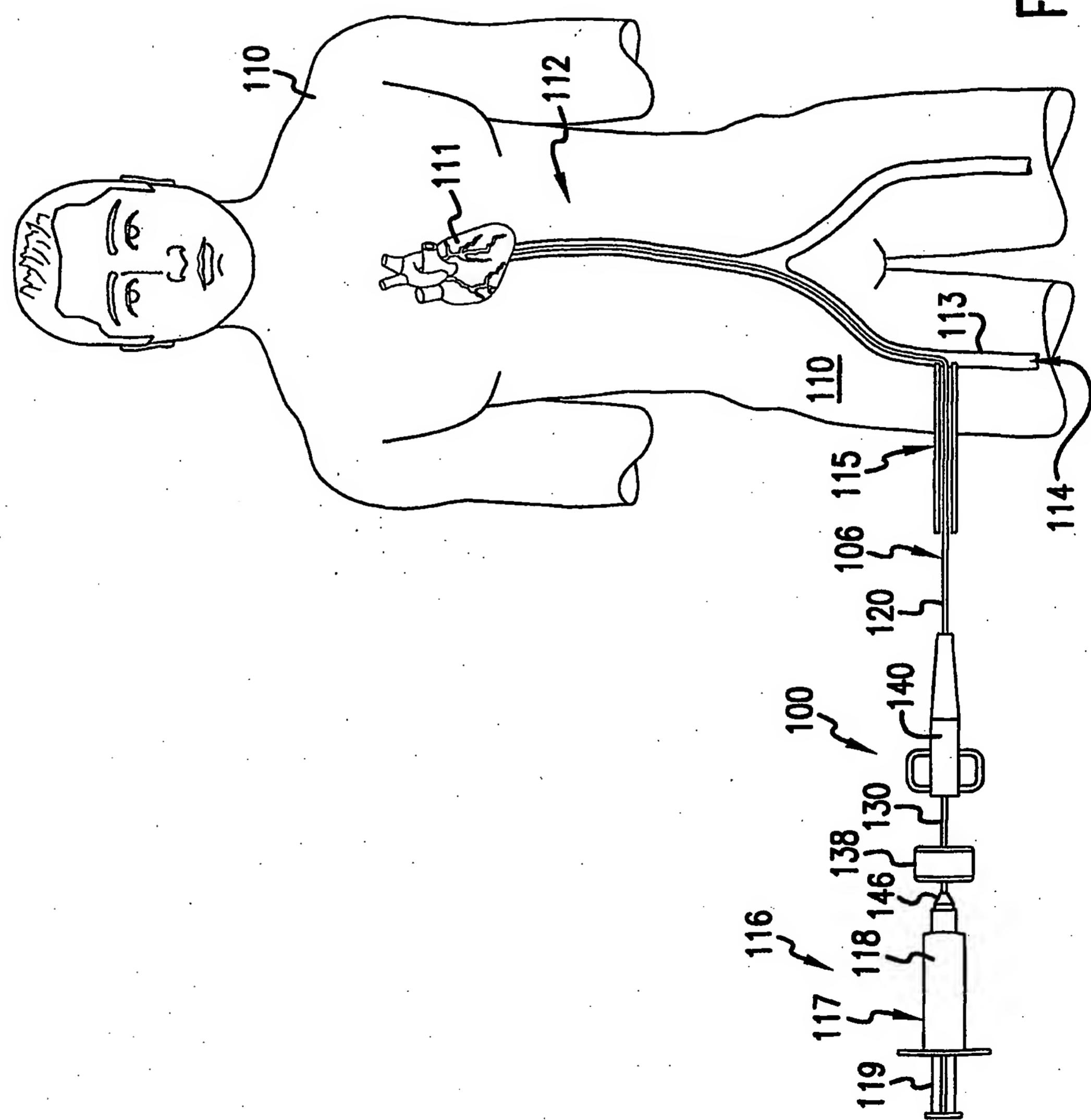


FIG. 1

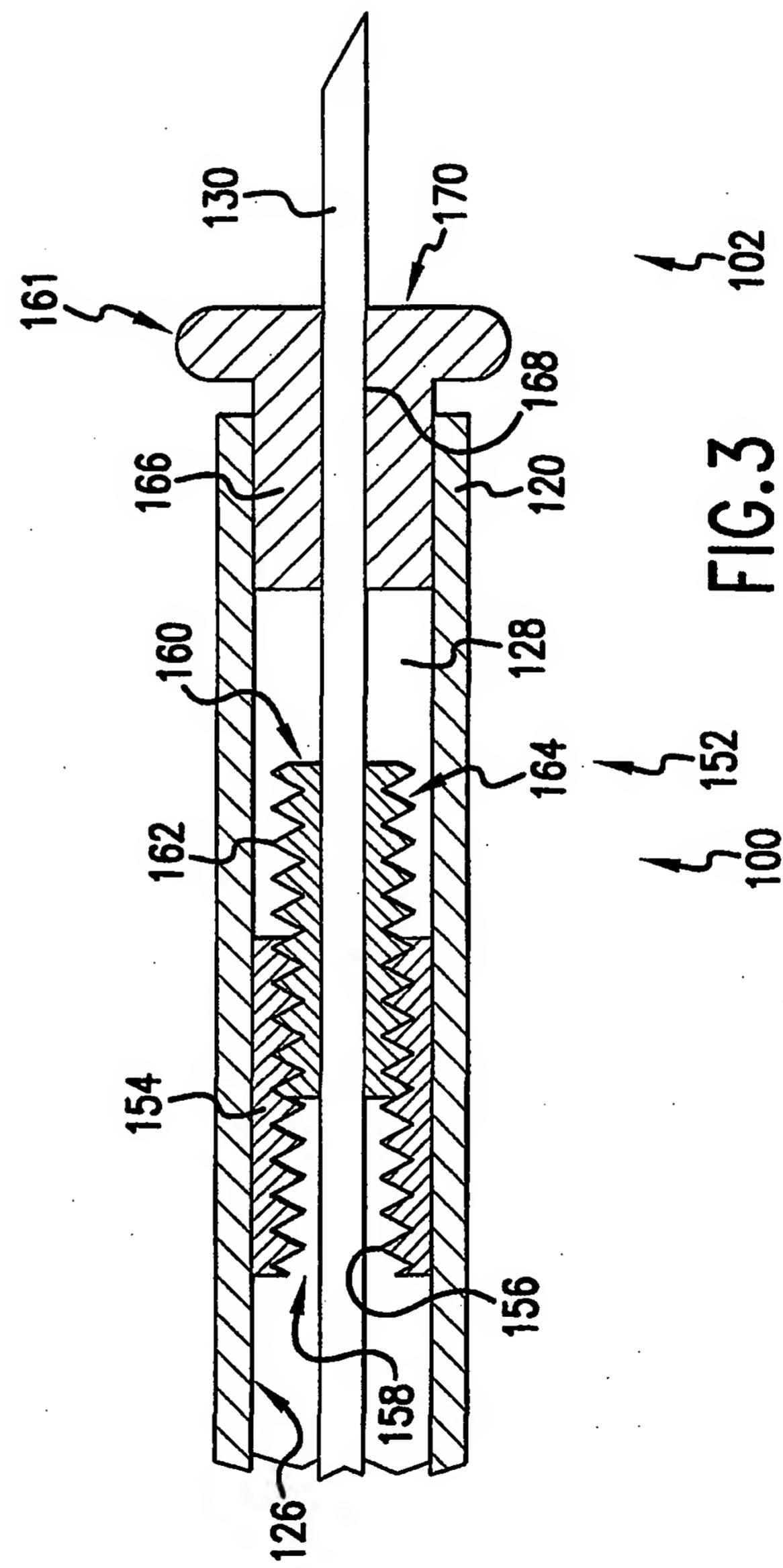
SUBSTITUTE SHEET (RULE 26)

2/8

FIG.2



3/8



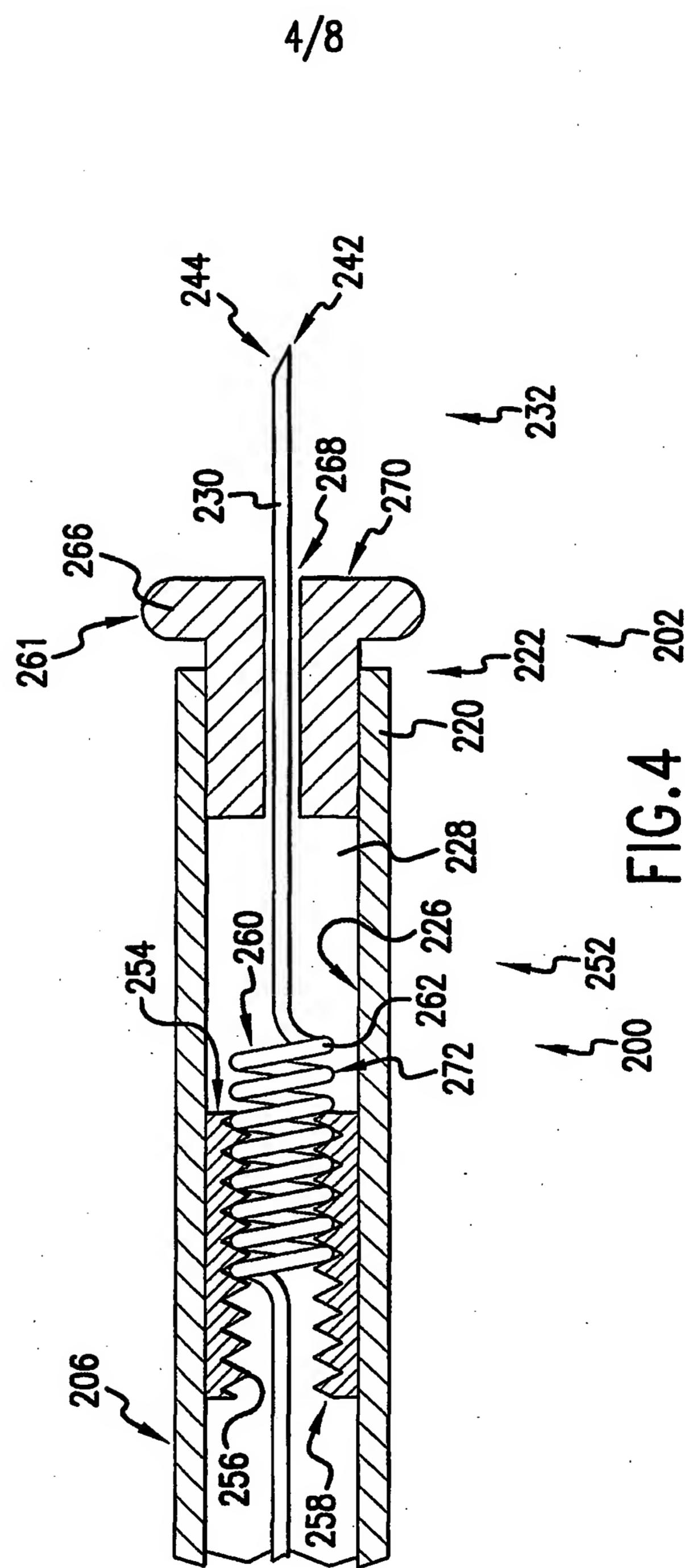


FIG. 4

5/8

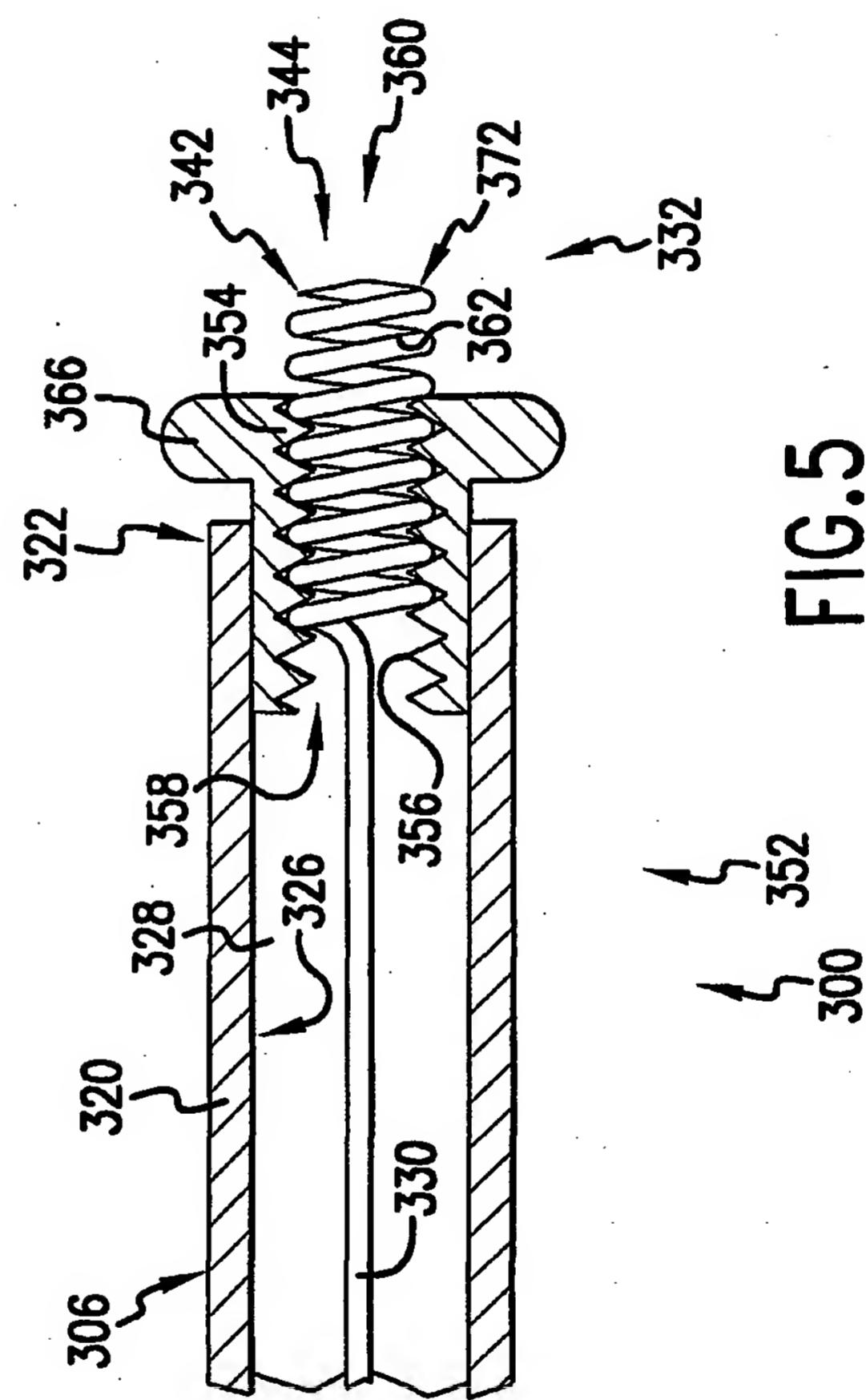
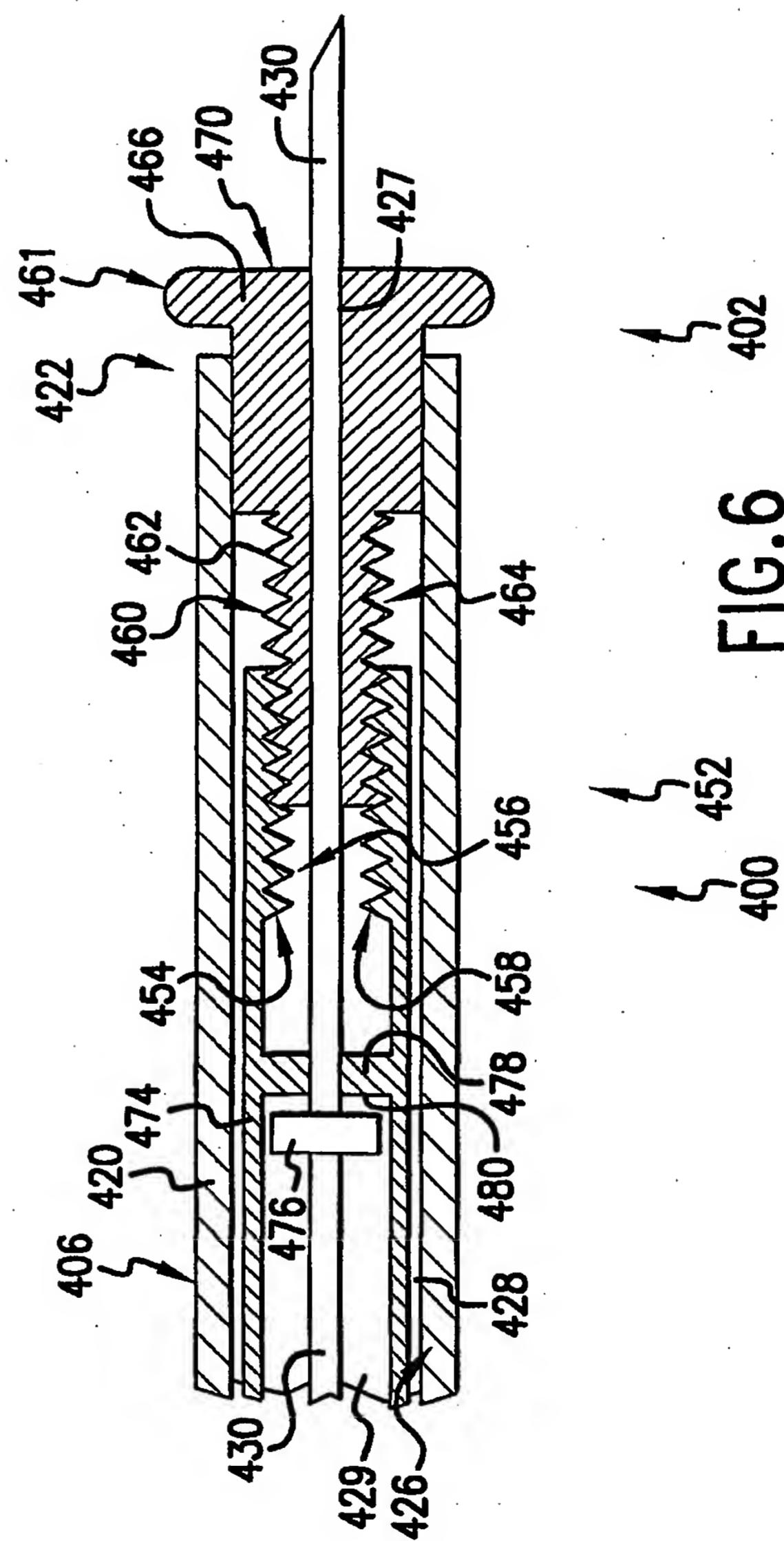
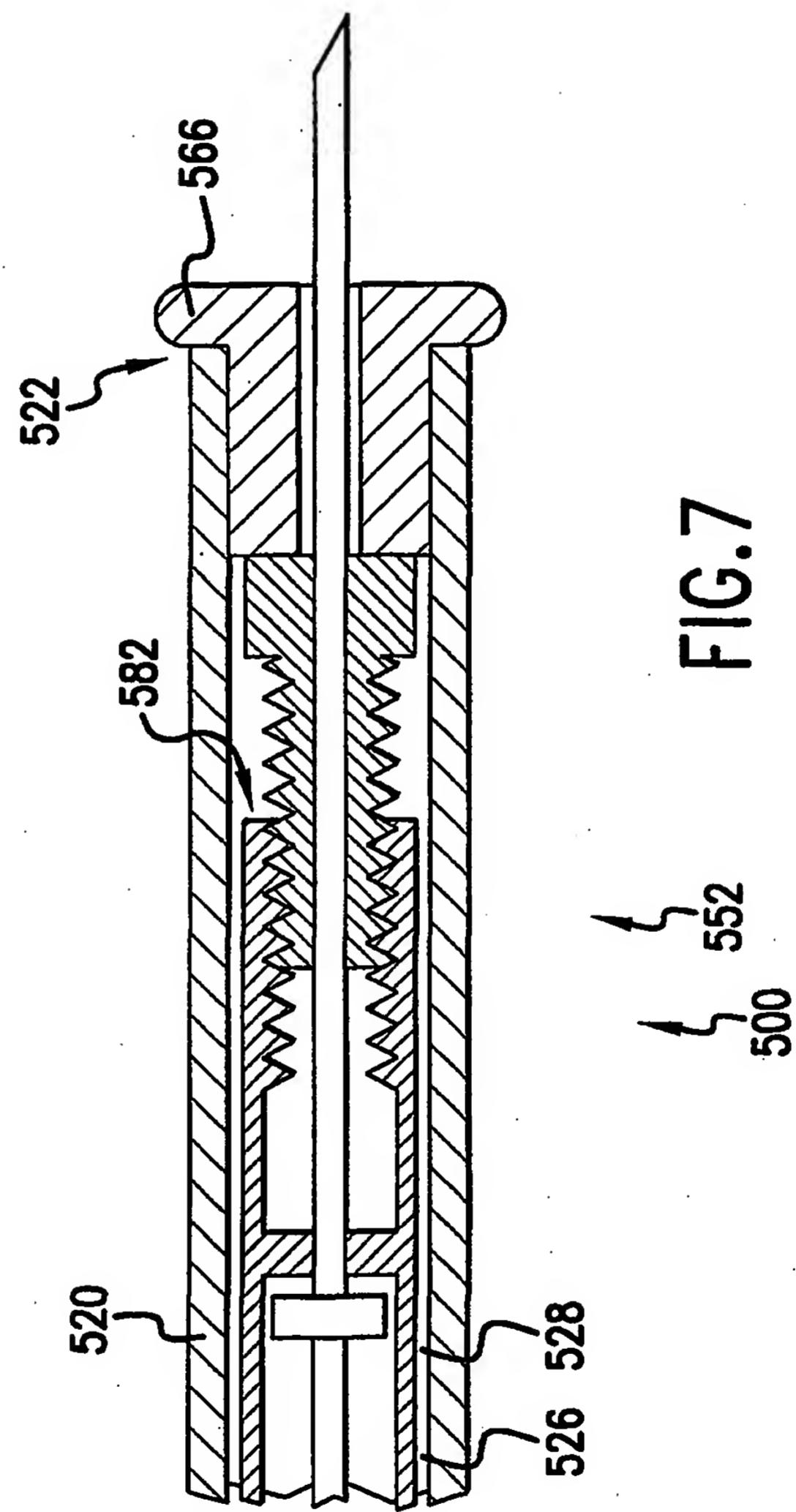


FIG. 5

6/8



7/8



8/8

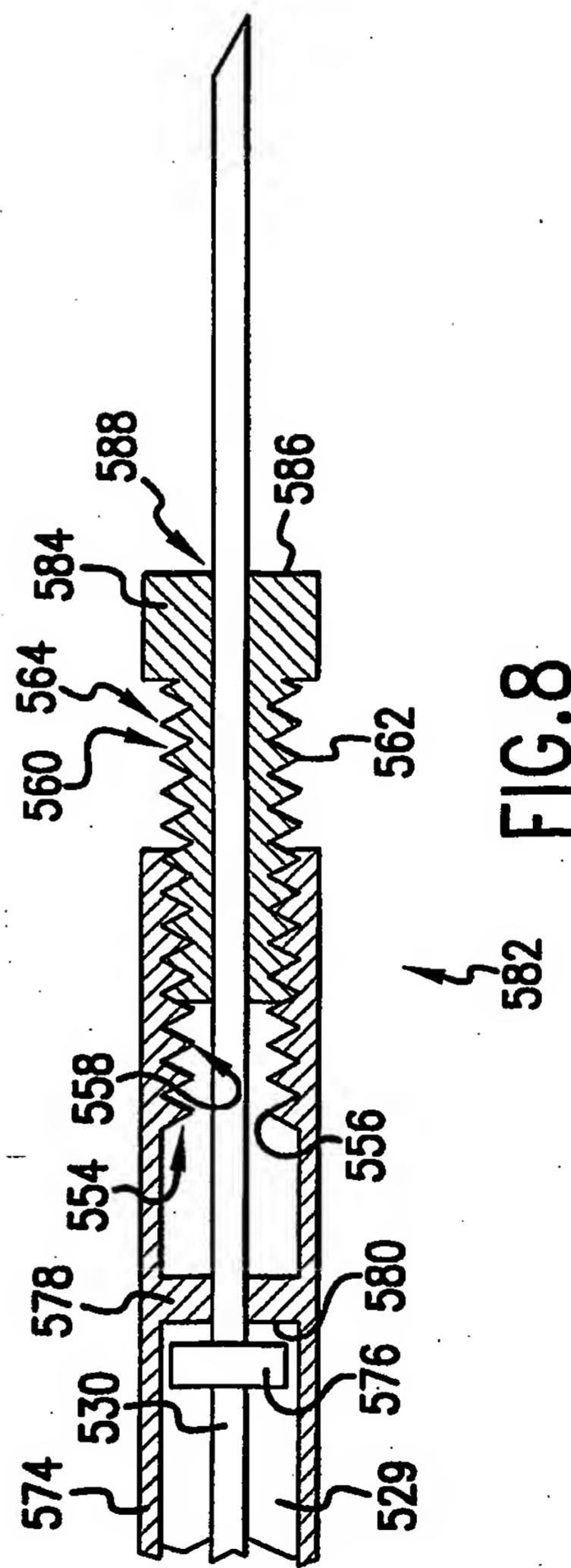


FIG. 8

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
14 February 2002 (14.02.2002)

PCT

(10) International Publication Number  
**WO 02/011807 A3**

(51) International Patent Classification<sup>7</sup>:

**A61M 5/46**

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.

(21) International Application Number:

PCT/US01/24029

(22) International Filing Date:

1 August 2001 (01.08.2001)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

09/633,924 8 August 2000 (08.08.2000) US

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

(71) Applicant: SCIMED LIFE SYSTEMS, INC. [US/US];  
One SciMed Place, Maple Grove, MN 55331 (US).

Published:

— with international search report

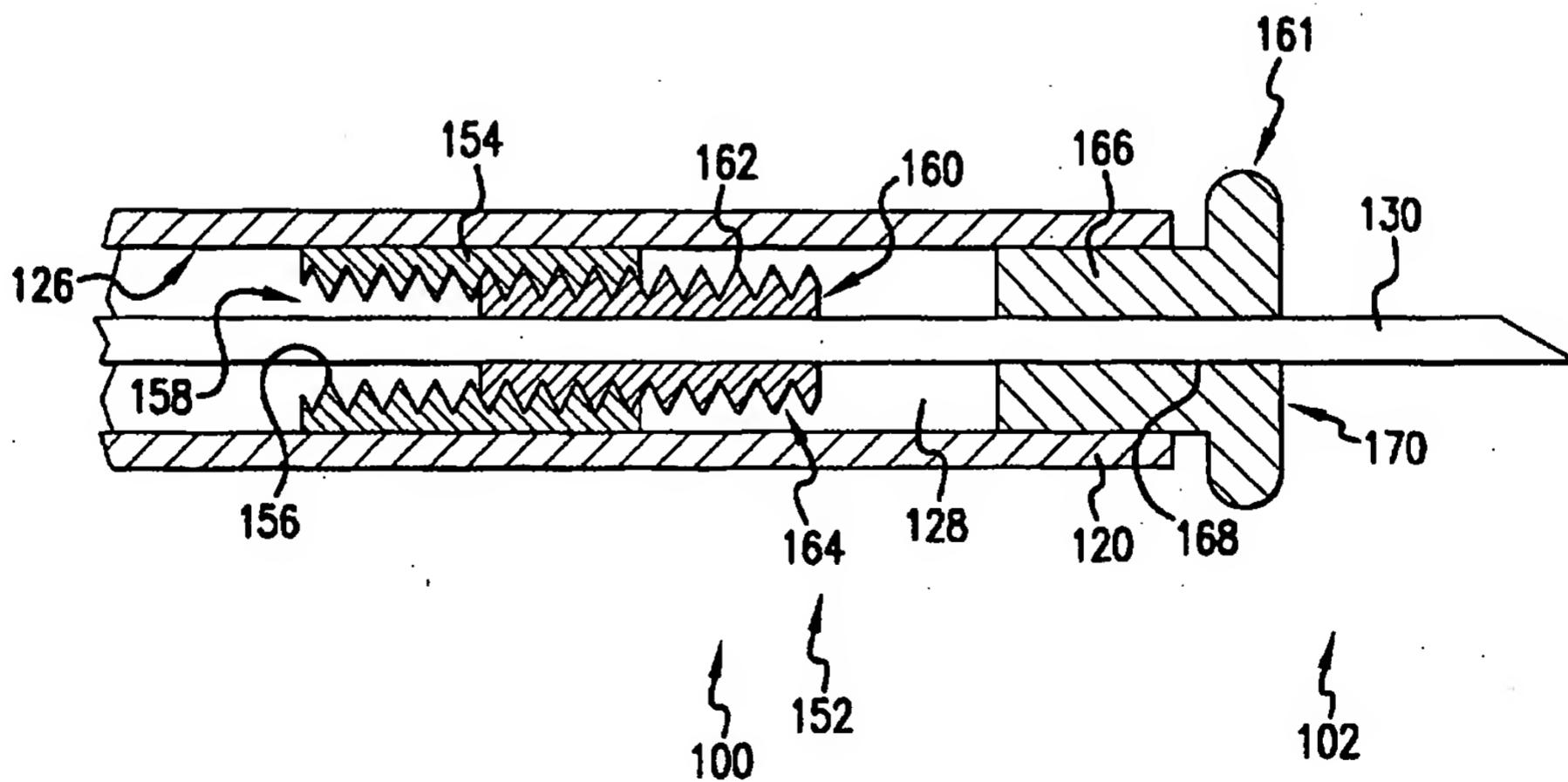
(72) Inventor: MICKLEY, Timothy, J.; 21870 Xenon Street,  
Elk River, MN 55330 (US).

(88) Date of publication of the international search report:  
6 September 2002

(74) Agents: BRAINARD, Charles, R. et al.; Kenyon &  
Kenyon, Suite 700, 1500 K. Street, N.W., Washington, DC  
20005-1257 (US).

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: CONTROLLED DEPTH INJECTION DEVICE AND METHOD



(57) Abstract: A catheter adapted to deliver therapeutic or diagnostic agents to a target tissue of a human body is disclosed. A catheter in accordance with the present invention comprises a first elongate shaft having a proximal end, a distal end, and a lumen extending therethrough, a second elongate shaft disposed within the lumen of the first elongate shaft, the first elongate shaft including a first helical member comprising a plurality of turns, the second elongate shaft including a second helical member comprising a plurality of turns. Wherein, at least one turn of the second helical member is disposed proximate at least one turn of the first helical member.

**WO 02/011807 A3**

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 01/24029

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 A61M5/46

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61M

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 00 25850 A (TING WINDSOR ; TSITLIK JOSHUA E (US)) 11 May 2000 (2000-05-11) page 23, line 15 -page 24, line 3; figures	1-4, 7-11, 13-16, 20
A	WO 00 09185 A (BIOCARDIA INC) 24 February 2000 (2000-02-24) page 7, line 26 -page 8, line 12; figures	1-20
A	EP 0 086 338 A (SORENSEN RESEARCH CO) 24 August 1983 (1983-08-24)  page 14, line 17 -page 15, line 25; figures	1-4, 8-11, 14-16, 18, 19



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

## \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the International filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*&amp;\* document member of the same patent family

Date of the actual completion of the International search

Date of mailing of the International search report

5 June 2002

21/06/2002

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
 NL - 2280 HV Rijswijk  
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
 Fax: (+31-70) 340-3016

Authorized officer

Kousouretas, I

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 01/24029

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 853 409 A (FLEISCHMAN SIDNEY D ET AL) 29 December 1998 (1998-12-29) column 9, line 44 - line 57; figures 8,9	1,8,14

## INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 01/24029

Patent document cited in search report		Publication date		Patent family member(s)	Publication date
WO 0025850	A	11-05-2000	WO	0025850 A1	11-05-2000
WO 0009185	A	24-02-2000	US	6102887 A	15-08-2000
			AU	5343899 A	06-03-2000
			EP	1104316 A1	06-06-2001
			WO	0009185 A1	24-02-2000
			US	6346099 B1	12-02-2002
EP 0086338	A	24-08-1983	AR	229779 A1	30-11-1983
			AU	9143982 A	28-07-1983
			BR	8300243 A	18-10-1983
			EP	0086338 A1	24-08-1983
			GR	77879 A1	25-09-1984
			JP	58124456 A	25-07-1983
			PT	76105 A ,B	01-02-1983
US 5853409	A	29-12-1998	AT	207726 T	15-11-2001
			CA	2217024 A1	21-11-1996
			DE	69616541 D1	06-12-2001
			EP	0823842 A2	18-02-1998
			JP	11506947 T	22-06-1999
			WO	9636860 A2	21-11-1996
			WO	9600040 A1	04-01-1996
			US	5755715 A	26-05-1998
			US	5702386 A	30-12-1997
			US	6113591 A	05-09-2000
			US	6056745 A	02-05-2000